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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 155.015

First Named Inventor Adel B. Korkor

Title Catheter Introducer Having an Expandable Tip

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV313039175US ADDRESS TO: Assistant Commissioner for Patents APPLICATION ELEMENTS Box Patent Application Washington, D.C. 20231 See MPEP chapter 600 concerning utility patent application contents. 1. (X) Fee Transmittal Form (e.g., PTO/SB/17) 8. () Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) (Submit an original, and a duplicate for fee processing) 2. (X) Applicant claims small entity status a. () Computer Readable Form (CRF) See 37 CFR 1.27 b. () Specification Sequence Listing on: 3. (X) Specification [Total Pages 34 (preferred arrangement set forth below, MPEP 1503.01) i. () CD-ROM or CD-R (2 copies); or - Descriptive title of the invention ii. () paper - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D c. () Statement verifying identity of above copies of - Reference to sequence listing, a table, or computer program listing appendix ACCOMPANYING APPLICATION PARTS - Background of the Invention - Brief Summary of the Invention 9. (X)Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. () 37 CFR 3.73(b) Statement (X) Power of - Detailed Description (when there is an assignee) Attorney - Claims - Abstract of the Disclosure 11. () English Translation Document (if applicable) 4. (X) Drawing(s) (35 U.S.C. 113) [Total Sheets 5] () Copies of IDS 12. (X) Information Disclosure 5. Oath or Declaration [Total Pages 3 Statement (IDS)/PTO-1449 Citations a. (X) Newly executed (original or copy) 13. () Preliminary Amendment () Copy from a prior application (37 CFR 1.63 (d)) 14. (X) Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 16 completed) (Should be specifically itemized) i. () DELETION OF INVENTOR(S) 15. () Certified Copy of Priority Document(s) Signed statement attached deleting (if foreign priority is claimed) inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b). 16. () Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) - Applicant must attached form 6. () Application Data Sheet. See 37 CFR 1.76 PTO/SB/35 or its equivalent 7. () CD-ROM or CD-R in duplicate, large table or Computer Program 17. () Other: 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: () Divisional (X) Continuation-in-part (CIP) of prior application No: () Continuation Prior application information: Examiner Kathryn L. Assadi Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 23598 or □Correspondence address below Name Timothy E. Newholm Boyle, Fredrickson, Newholm, Stein & Gratz, S.C. Address 250 East Wisconsin Avenue, Suite 1030 City Milwaukee State W١ 53202 Zip Code USA Country

Name (Print/Type) Timothy E. Newholm	Registration No. (Attorney/Agent) 34,400				
Signature	Date: August 5, 2003				

155.015

TOTAL AMOUNT OF PAYMENT

(\$424)

Complete if Known FEE TRANSMITTAL Application Number Filing Date August 5, 2003 for FY 2003 First Named Inventor Korkor Examiner Name Unassigned Effective 01/01/2003. Patent fees are subject to annual revision. Art Unit Unassigned X Applicant Claims small entity status. See 37 CFR 1.27

Attorney Docket No.

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METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
X Check Credit card Money Other None	3. ADDITIONAL FEES						
	Large Entity Small Entity				•		
X Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Number	1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit Account Name Boyle Fredrickson Newholm Stein & Gratz S.C.	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet		
The Commissioner is authorized to: (check all that apply)		130	1053	130	Non-English specification		
- Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2.520	For filing a request for ex parte reexamination	}	
X Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
				•-		 	
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1253	930	2253	465	Extension for reply within third month	 	
Code (\$) Code (\$) Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month		
1001 750 2001 375 Utility filing fee 375	1255	1,970	2255	985	Extension for reply within fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
	1452	110	2452	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$375)	1453	1,300	2453	650	Petition to revive – unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	650 Utility issue fee (or reissue)		
Fee from Extra Clalms below Fee Paid	1502	470	2502	235	Design issue fee		
Total Claims 21 -20**= 1 x 9 = 9	1503	630	2503	315	Plant issue fee		
Independent 3 - 3**= 3 X 42 = 0	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	}}	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	Recording each patent assignment per	40	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	property (times number of properties) Filing a submission after final rejection (37 CFR § 1.129(a))		
. 1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
1203 280 2203 140 Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)		
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	, , , , , , , , , , , , , , , , , , ,						
SUBTOTAL (2) (\$9)	Other f	ee (spec	cify)				
" or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$40)							

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34400	Telephone	414.225.9755	
Signature	1			Date	August 5, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

E-MAIL: MAIL@BOYLEFRED.COM

INTELLECTUAL PROPERTY LAW

TIMOTHY E. NEWHOLM DIRECT DIAL: 414-225-1667 TEN@BOYLEFRED.COM

August 5, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal

Inventor(s): Korkor et al.

Title: Catheter Introducer Having an Expandable Tip

Assignee: A.B. Korkor Medical, Inc.

Continuation-In-Part of U.S. Pat. App. Ser. 09/896,035, filed June 29,

2001

USPTO Customer Account No.: 23598

Attorney Docket No.: 155.015

Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of 27 pages of specification, 21 claims on 6 sheets, 22 Drawings on 5 sheets, and an Abstract on 1 sheet;
- Utility Patent Application Transmittal (Form PTO/SB/05);
- our check in the amount of \$424.00 in payment of the government filing fee for the patent application;
- An Information Disclosure Statement.

Small Entity Statement:

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

Related Application - Priority Claim

This application is a *continuation-in-part* of the following co-pending U.S. Patent Application Serial No. 09/896,035, entitled "Catheter Introducer Having an Expandable Tip" filed June 29, 2001.

Deposit Account Authorization

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

Enclosures

Certification Under 37 CFR 1.10

Express Mail Label No: EV313039175US Date of Deposit: August 5, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jodi A Calderon